



UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/429,719 10/29/99 ARATANI K P99,2247

0232/1124

HILL & SIMPSON
A PROFESSIONAL CORPORATION
85TH FLOOR SEARS TOWER
233 SOUTH WACKER DRIVE
CHICAGO IL 60606

NOT ASSIGNED

2812

DATE MAILED:

11/24/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☒ non-small entity is \$ 120.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing
- ☐ insufficient

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.
\$_____ for _____ independent claims over 3.
\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), if less previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

C. Mollist

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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WASHINGTON, D.C. 20231



SECTOR#

BOX: MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Aratani et al. DOCKET NO: P99,2247
SERIAL NO: 09/429,719 GROUP NO: 2812
DATE FILED: October 29, 1999 EXAMINER:
INVENTION: THIN FILM FORMATION USE SPUTTERING TARGET MATERIAL,
THIN FILM FORMED USING SAME, AND OPTICAL RECORDING
MEDIUM

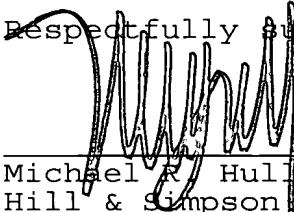
Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

**SUBMITTAL OF DECLARATION
PURSUANT TO 37 C.F.R. 1.53(d)**

Sir:

Applicants herewith submit an executed Declaration in response to the Notice to File Missing Parts of Application dated November 24, 1999 (PTO-1533 attached). A check for the statutory fee in the amount of \$130.00 is submitted herewith.

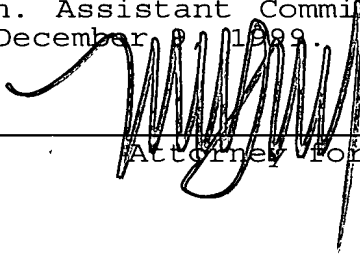
Respectfully submitted,



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Washington, D.C. 20231 on December 8, 1999.



Attorney for Applicants